The Eurostars Programme

The EUREKA – E.C. joint funding programme for R&D-performing SMEs
EUREKA is a public network supporting R&D-performing businesses established in 1985 by French President Mitterand and German Chancellor Kohl.

- An intergovernmental network of 40 members + 1 Associate South Korea: EU-27, European Community and 12 other countries.
- EUREKA projects are financed through national public and private funding.
- Market-oriented: Projects must result in a marketable product, process or service.
- Bottom-up: The consortium decides on the project objectives; there are no thematic restrictions; open to third party participation.

Since 1985, more than 26 billion euro of public-private investment has been mobilised to support over 3,000 EUREKA projects.
EUREKA 40 members

Austria
Belgium
Bulgaria
Croatia
Cyprus
Czech Republic
Denmark
Estonia
European Union
Finland
France
Former Yugoslav Republic of Macedonia
Germany
Greece
Hungary
Iceland
Ireland
Israel
Italy
Latvia
Lithuania
Luxembourg
Malta
Monaco
The Netherlands
Norway
Poland
Portugal
Romania
Russian Federation
San Marino
Serbia
Slovakia
Slovenia
Spain
Sweden
Switzerland
Turkey
Ukraine
United Kingdom

The Eurostars Programme is powered by EUREKA and the European Community
EUREKA supports **THREE** types of projects

**Clusters**
Long-term and strategically-significant.
Clusters involve a large number of participants, many from Europe’s largest companies.
Develop generic technologies of key importance to European competitiveness.

**Individual Projects (eg. E!1782; E!1344)**
Small, shorter-term projects, involving participants from at least two member countries.
Result in a product, process or service with a significant advance in their sector.
Some of these projects are grouped together in a thematic network, known as an Umbrella.

**Eurostars Projects**
Small, short-term projects, involving participants from at least two member countries.
The consortium leader is a research-performing SME.
Programmed jointly with the European Community (through the Framework Programme).
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For more information - www.eurekanetwork.org
• Programa “gémeo” da Iniciativa EUREKA, que reúne 19 países da América latina, Portugal e Espanha.

• Projectos de cooperação em **I&D industrial**. Participação de, pelo menos 2 empresas de 2 países ibero-americanos.

• **Selo de qualidade** - atribuído a parcerias tecnológicas empresariais que configuram o desenvolvimento conjunto de novos produtos, processos e serviços próximos do mercado

• **Geração de projectos “Bottom-up”**.

• **Financiamento descentralizado** por país.
The Eurostars Programme is the first European funding and support programme to be specifically dedicated to R&D performing SMEs.

A joint programme (from 2007-2013), combining:

> National R&D programmes from 31 participating countries
  (300+ million euro already committed)

> Seventh Framework Programme (+/-100 million euro committed)

> EUREKA Secretariat
  (20+ years of experience in coordination of national R&D programmes)

Next deadline for applications:
Thursday 24th March 2011 at 20h00 CET.
The Eurostars Programme

Project Application Submission
Aim higher
EUREKA’s Eurostars Programme
Getting your innovation to the market. Faster.

What

Who

Where

When

EUREKA’s Eurostars Programme is the first European funding and support programme to be specifically dedicated to SMEs. Eurostars will stimulate them to lead international collaborative research and innovation projects by easing access to support and funding.

We are pleased to announce the opening of the 4th Eurostars Cut-Off. Registrations and project application submissions will be accepted until the cut-off deadline on the 25th February 2010 at 20:00 CET.

Eurostars second call raises
European research ambitions

New application submission
deadline is Thursday 25
February 2010 at 20:00 CET

supporting and funding research and innovation performing businesses in Europe
Support and assistance from EUREKA

> Discuss your application with your local NPC

> Ensure that all of your partners discuss the application with their local NPCs

Applicants are strongly advised to contact their EUREKA National Project Coordinator (NPC) for information on Eurostars and support and assistance in the preparation of an application for Eurostars funding.
One registration per application is required
# Central Evaluation Process

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## Contact with EUREKA NPCs
- Application Form
- Yearly Account
- Draft Consortium Agreement

## International Eligibility
- Nat/Regional eligibility

## International Expertises
- National Cover Note

## Independent Evaluation Panel

<table>
<thead>
<tr>
<th>Proposal Submission</th>
<th>Eligibility</th>
<th>Assessment</th>
<th>Ranking List</th>
</tr>
</thead>
<tbody>
<tr>
<td>J (24/03/2011)</td>
<td>J + 30</td>
<td>J + 60</td>
<td>J + 90</td>
</tr>
</tbody>
</table>

### Basic Assessment
- Well balanced partnership
- Management Capacity
- Cost and financing structure

### Technology and Innovation
- Degree of Technological maturity
- Technological achievements
- Degree of Innovation

### Market and Competitiveness
- Market size, access and risk
- Time to Market
- Return on Investment

A panel of seven high level Members meets to establish the ranking list (based on the international expertises and National cover note).
Central evaluation process

14 weeks from cut-off date to communications of results

Next cut-off date

Cut-Off 5: 30th September 2010 at 20h00 CET
Cut-Off 6: 24th March 2011 at 20h00 CET
Funding of project applications

• The funding of partners is based on the national funding rules

• The funding of projects is following the ranking list until national budget exhaustion

• All partners of a Eurostars project must have a funding secured

• Upon receipt of the “funding availability” letter, all participants must contact their NPC within 10 days.
Eurostars
Funding Conditions in Portugal
Art.3 - Typology of R&D Activities:

- EUREKA-EUROSTARS must have exclusively civil ends;

- Projects may comprise the following R&D Activities:
  - Industrial Research - development of new technologies and to obtain new competences; (Maximum support rate 75%)
  - Experimental Development - development of prototypes, pre-series & pilot actions; industrial validation of technologies; promotion activities for economic valorisation of results; (Maximum support rate 50%)

Art.4 - Types of Beneficiaries:

- Portuguese Enterprises;
- Entities from the National Scientific and Technological System;
- Other non-profit public and private institutions that develop, promote or participate in scientific research or education and scientific & technological culture;
Art.7 - Eligible Expenses:

- Human Resources (including scholarships);
- Patent acquisition;
- Raw materials and components needed for pilot or experimental installations or for the demonstration and construction of prototypes;
- Third party services, including technical, scientific and consultancy assistance;
- Project specific software;
- Formulation of patent requests, utility models;
- Promotion and dissemination of results;
- Travel abroad;
- Certification of R&D&I System;
- Official accountants (TOC / ROC);
- Indirect Costs (20% Flat Rate or Full Costs).
Art. 9 - Nature and limits of the incentive:

- The incentive is a non-reimbursable grant;

- Base support rate for Enterprises - 25%, increased by:
  - Industrial Research Activities - 25%
  - Type of enterprise - Medium 10% - Small 20%;
  - Cooperation between enterprises - 15%
  - Cooperation with scientific & technological entities - 15%
  - Ample dissemination of results - 15%

- Entities from the National Scientific and Technological System and other non-profit public and private institutions - 75%;
Art. 9 - Nature and limits of the incentive (cont.):

- Maximum amount of support per project €200,000, except when the participation of Portuguese enterprises accounts for more than 50% of the total budget of the Eurostars Project;

- For Non SMEs the incentive is given by *minimis* (Regulation (CE) 1998/2006).
Art. 11 - Concession of the approved incentives:
- 30% cash advance after the signature of the acceptance term;
- Another two 30% cash advances when the promoter justifies to have spent 70% of the previous one(s);
- Remaining 10% after the final report is delivered.

Art. 12 - Monitoring and Control:
AdI will visit the national promoters twice, half way through the project and at the end of the project; Normally a technical expert of the field of the project will accompany the AdI representative.
Eurostars Results
4 Cut Offs
## Global Overview - Eurostars portfolio

### Submission Stage

<table>
<thead>
<tr>
<th>Applications Received</th>
<th>Cut-Off1</th>
<th>Cut-Off2</th>
<th>Cut-Off3</th>
<th>Cut-Off4</th>
<th>Total</th>
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<tbody>
<tr>
<td>1. Applications Received</td>
<td>215</td>
<td>317</td>
<td>279</td>
<td>316</td>
<td>1127</td>
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</tbody>
</table>

| Total Budget          | 300 M€   | 446 M€   | 385 M€   | 421 M€   | 1552 M€ |

| Number of Applicants  | 667      | 1098     | 957      | 1061     | 3783   |

| Number of SMEs        | 74%      | 73%      | 71%      | 71%      | 72%    |

### Evaluation Stage

| Applications Eligible | 189      | 245      | 236      | 268      | 938    |

| Applications above Threshold | 133      | 111      | 112      | 102      | 458    |

### Funding Stage

<table>
<thead>
<tr>
<th>Projects Funded</th>
<th>90</th>
<th>86</th>
<th>85</th>
<th>261</th>
</tr>
</thead>
</table>

| Total Budget    | 129 M€ | 128 M€ | 130 M€ | 387 M€ |

| Public Funding * | 58 M€ | 42 M€ | 60 M€ | 160 M€ |

| Members States Funding * | 44 M€ | 32 M€ | 46 M€ | 122 M€ |

| EC Funding * | 14 M€ | 10 M€ | 15 M€ | 39 M€ |

* Those figures are based on information given by NPCs, and therefore are not legally confirmed by NFBs.

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### Portugal Overview

<table>
<thead>
<tr>
<th></th>
<th>1st Cut off</th>
<th>2nd Cut off</th>
<th>3rd Cut off</th>
<th>4th Cut off</th>
<th>5th Cut off</th>
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<tbody>
<tr>
<td><strong>Applications received</strong></td>
<td>16</td>
<td>23</td>
<td>15</td>
<td>25</td>
<td>26</td>
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<tr>
<td><strong>Total Budget (M€)</strong></td>
<td>5.7 M€</td>
<td>6.7 M€</td>
<td>6.85 M€</td>
<td>9.93 M€</td>
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<td><strong>Evaluation Stage</strong></td>
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<td><strong>Applications eligible</strong></td>
<td>16</td>
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<td><strong>Application above Treshold</strong></td>
<td>11</td>
<td>8</td>
<td>2</td>
<td>7</td>
<td>11</td>
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<tr>
<td><strong>Funding Stage</strong></td>
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<tr>
<td><strong>Projects Funded</strong></td>
<td>6*</td>
<td>7**</td>
<td>2</td>
<td>5</td>
<td></td>
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<tr>
<td><strong>Total Budget</strong></td>
<td>1,96 M€</td>
<td>2.01 M€</td>
<td>0.68 M€</td>
<td>2.28 M€</td>
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<td><strong>Public Funding</strong></td>
<td>1 M€</td>
<td>1 M€</td>
<td>0.4 M€</td>
<td>1.6 M€</td>
<td></td>
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</tbody>
</table>

* 2 projectos em curso com auto-financiamento nacional
** 1 Projecto em curso com auto-financiamento nacional
*** Negociações em curso
Global overview - Technological Areas

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Global overview - Market Areas

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Applications by Members - 4th Cut off

4th Cut Off: Number of Applications per Countries

Main Partner

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Submission to Eurostars, Cut-Off 1, 2, 3 & 4

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Success Factors

• **Be available:**
  • allow time for necessary preparation (partner search, project proposal, consortium agreement...)

• **Build up a « win-win » cooperation:**
  • show complementarities and added value of trans-national partnership during and after the project

• **Set clear, measurable and verifiable objectives:**
  • define success indicators for technological performance as well as the commercial and financial targets to achieve
• **Be technologically ambitious while remaining realistic:**
  - define a methodical approach in line with partnership, budget and time limit set for the completion of the project and the marketing of its results

• **Point out the innovative nature of the proposal submitted:**
  - present new industrial applications and their impact on the industry sector and relevant markets

• **Show partnership’s ability to meet its commitments:**
  - demonstrate each party’s management, scientific and technical skills as well as its available financial resources for the project
• Demonstrate clearly why the projects should be financed: highlight the risks and the strategic character of the project in terms of expected commercial and financial impact on a European scale

• Put the application in the context of the “project”: Focus on the project methodology (objectives, means, results)

• Fill in the project application carefully and ensure it is clear:
  • promote the key elements the evaluators are looking for and ask an objective party to read it